

DT-6560



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Christian Lutz

SERIAL NO.: 10/618,815

FILED: July 14, 2003

FOR: Steering Column with a Locking Device

EXAMINER: --

GROUP: 3676

Mail Stop: Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION UNDER 37 C.F.R. 1.48(a)**

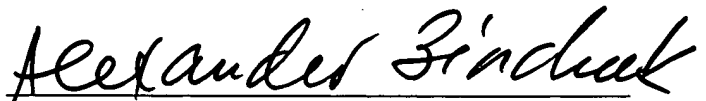
Sir:

It is respectfully requested that the inventorship of the above-referenced application be changed, and that Ilario Spano, the actual inventor, be named as the inventor.

03/30/2004 CNGUYEN 00000138 500955 10618815  
01 FC:1460 130.00 DA

The Commissioner is hereby authorized to charge the fee required under 37 C.F.R. §1.17(i) in the amount of \$130.00 and any other fees which may be required to our Deposit Account No. 50-0955.

Respectfully submitted,

  
Alexander Zinchuk  
Reg. No. 30,541

Dated: March 25, 2004  
Sidley Austin Brown & Wood  
787 Seventh Avenue  
New York, New York 10019

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 25, 2004.

Alexander Zinchuk

Signature: 

- Encls.: 1. Statement of the Named Inventor  
2. Statement of a Missing Inventor  
3. Declaration of the Missing Inventor  
4. Assignment of the Missing Inventor  
5. Statement by Assignee  
6. Form 3.73 (b)  
7. Copy of the Assignment Executed by the Named Inventor  
(recorded on July 17, 2003, Reel/Frame: 014295/0317).

DT-6560



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Christian Lutz  
SERIAL NO.: 10/618,815  
FILED: July 14, 2003  
FOR: STEERING COLUMN WITH A LOCKING DEVICE

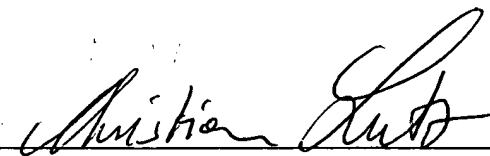
Mail Stop **Petition**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF A NAMED INVENTOR**

Sir:

I, CHRISTIAN LUTZ, a named inventor of the above-referenced application state that I was named as an inventor through error and without any deceptive intention on the part of Christian Lutz.

Date: Jan. 28. 2004

  
\_\_\_\_\_  
Christian Lutz

DT-6560



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Christian Lutz  
PATENT NO. 10/618,815  
FILED: July 14, 2003  
FOR: STEERING COLUMN WITH A LOCKING DEVICE  
EXAMINER -- GROUP: 3676

Mail Stop **Petition**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF A MISSING INVENTOR**

Sir:

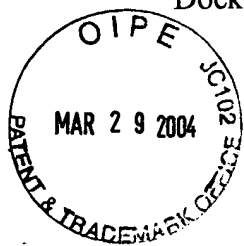
The inventorship error of failing to name **ILARIO SPANO** as an inventor of the above-named application occurred without any deceptive intention on the part of **ILARIO SPANO**.

Date: January 28, 2004

Ilario Spano  
Ilario Spano

3

Docket No.: DT-6560



**Declaration and Power of Attorney for Patent Application**  
**Erklärung Für Patentanmeldungen Mit Vollmacht**  
**German Language Declaration**

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

Verriegelungsvorrichtung für zwei relativ zueinander  
verschiebbar gelagerte Bauteile

deren Beschreibung  
(zutreffendes ankreuzen)

☒ hier beigelegt ist.

☐ am \_\_\_\_\_ unter der  
Anmeldungsnummer \_\_\_\_\_  
eingereicht wurde und am \_\_\_\_\_  
abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LOCKING DEVICE FOR TWO, DISPLACEABLE  
RELATIVE TO EACH OTHER, COMPONENTS

the specification of which  
(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_  
Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## German Language Declaration

### Prior foreign applications Prioritaet beansprucht

102 40 235.3  
(Number)  
(Nummer)

Germany  
(Country)  
(Land)

\_\_\_\_\_  
(Number)  
(Nummer)

\_\_\_\_\_  
(Country)  
(Land)

\_\_\_\_\_  
(Number)  
(Nummer)

\_\_\_\_\_  
(Country)  
(Land)

### Priority Claimed

28 August 2002  
(Day/month/year)  
(Tag/Monat/Jahr)

☒ [X]  
Yes  
Ja

☐ [ ]  
No  
Nein

\_\_\_\_\_  
(Day/Month/Year Filed)  
(Tag/Monat/Jahr eingereicht)

☐ [ ]  
Yes  
Ja

☐ [ ]  
No  
Nein

\_\_\_\_\_  
(Day/Month/Year Filed)  
(Tag/Monat/Jahr eingereicht)

☐ [ ]  
Yes  
Ja

☐ [ ]  
No  
Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 112 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

\_\_\_\_\_  
(Application Serial No.)  
(Anmeldeseriennummer)

\_\_\_\_\_  
(Filing Date)  
(Anmeldedatum)

\_\_\_\_\_  
(Status)  
(patentiert, anhängig,  
aufgegeben)

\_\_\_\_\_  
(Status)  
(patented, pending,  
abandoned)

\_\_\_\_\_  
(Application Serial No.)  
(Anmeldeseriennummer)

\_\_\_\_\_  
(Filing Date)  
(Anmeldedatum)

\_\_\_\_\_  
(Status)  
(patentiert, anhängig,  
aufgegeben)

\_\_\_\_\_  
(Status)  
(patented, pending,  
abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden koennen, und dass derartig wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

HE 17864

## German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt:

David Toren  
Alexander Zinchuk  
Ronit Gillon

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Reg. No. 19,468  
Reg. No. 30,541  
Reg. No. 39,202

Telefongespräche bitte richten an:

Direct Telephone Calls to:

David Toren  
SIDLEY AUSTIN BROWN & WOOD LLP  
(212) 839-7355

Postanschrift:

Send Correspondence To:

David Toren  
**The Address at Customer Number 30377**  
(212) 839-7355

Unterschrift des Erfinders

Datum

Ilario Spano Jan 28-2004  
Voller Name des einzigen oder ursprünglichen Erfinders:

Ilario Spano  
Wohnsitz  
Triesen  
Liechtenstein  
Staatsangehörigkeit  
Liechtenstein  
Postanschrift  
Lawenstrasse 26  
9495 Triesen  
Liechtenstein

Inventor's Signature

Date

Ilario Spano Jan 28-2004  
Full name of first or sole inventor

Ilario Spano  
Residence  
Triesen  
Liechtenstein  
Citizenship  
Liechtenstein  
Post Office Address  
Lawenstrasse 26  
9495 Triesen  
Liechtenstein

Unterschrift des Erfinders

Datum

Voller Name des zweiten Miterfinders

Wohnsitz  
Staatsangehörigkeit  
Postanschrift

Inventor's Signature

Date

Full name of second joint inventor, if any

Residence  
Citizenship  
Post Office Address

HE 17864

**ASSIGNMENT OF U.S. PATENT APPLICATION**

DT-6560

**WHEREAS**, Ilario Spano of Triesen/Liechtenstein, has invented certain new and useful improvements in

**STEERING COLUMN WITH A LOCKING DEVICE**

for which he is about to make application for Letters Patent of the United States of America:

**AND WHEREAS, ThyssenKrupp Presta Aktiengesellschaft**

of Essanestrasse, LI-9492 Eschen, Principality of Liechtenstein, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor from the United States:

**NOW THEREFORE**, be it known by all whom it may concern, that for and in consideration of the sum of One Dollar (\$1.00) and other valuable consideration to me in hand paid, the receipt of which is hereby acknowledged, I have assigned, sold and set over and by these presents do assign, sell and set over unto the said

**ThyssenKrupp Presta Aktiengesellschaft**

for the territory of the United States of America and not elsewhere, the full and exclusive right, title and interest in and to the said invention, as fully set forth and described in the specification filed herewith, preparatory to obtaining Letters Patent therefor; said invention, application and Letters Patent to be held and enjoyed by the said

**ThyssenKrupp Presta Aktiengesellschaft**

for its own use and behoof and for the use and behoof of its successors and assigns to the full end of the term for which said Letters Patent are granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

**IN WITNESS WHEREOF**, I have hereunto set my hand this 28 day of

January, 2004

X Ilario Spano

Ilario Spano

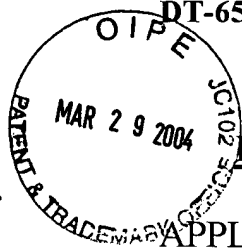
In the presence of  
Witness:

Christopher Klubowski  
Alfred Hofmann

Date: January 28, 2004

**SIDLEY AUSTIN BROWN & WOOD LLP**  
787 Seventh Avenue, New York, NY 10019





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Christian Lutz

PATENT NO. 10/618,815

FILED: July 14, 2003

EXAMINER: --

GROUP: 3676

## Mail Stop **Petition**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## STATEMENT BY ASSIGNEE

Sir:

The Assignee, Thyssenkrupp Presta Aktiengesellschaft, with a 100% interest in the instant application by virtue of an assignment enclosed herewith agrees to change of inventorship of this application.

Thyssenkrupp Presta Aktiengesellschaft

Date: Jan. 27. 2004

[Signature]  
Signature

Guido Dyer

Name

Executive Vice President

Title

Date: Jan 27. 2004

[Signature]  
Signature

Christoph Klutkowski

Name

Dr. sc. tech. E-TH leading development engineer

Title

OTIP  
MAR 28 2004  
PATENT & TRADEMARK

**CERTIFICATE UNDER 37 CFR 3.73(b)**

Applicants: Christian Lutz

Application No.: 10/618,815

Filed: July 14, 2003

Entitled: STEERING COLUMN WITH A LOCKING DEVICE

Thyssenkrupp Presta Aktiengesellschaft Corporation a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: X Jan 27, 2004

Thyssenkrupp Presta Aktiengesellschaft

Guido Dörner  
Signature

Executive Vice President  
Name

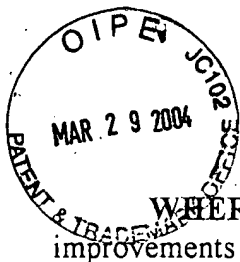
Executive Vice President  
Title

Date: X Jan 27, 2004

Christoph Klukowski  
Signature

Dr. sc. tech ETH leading development  
Name

Dr. sc. tech ETH leading development  
Title engineer



**ASSIGNMENT OF U.S. PATENT APPLICATION**

DT-6560

**WHEREAS**, Christian Lutz of Nüziders/Austria, has invented certain new and useful improvements in

**STEERING COLUMN WITH A LOCKING DEVICE**

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**AND WHEREAS**, ThyssenKrupp Presta Aktiengesellschaft

of Essanestrasse, LI-9492 Eschen, Principality of Liechtenstein, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor from the United States:

**NOW THEREFORE**, be it known by all whom it may concern, that for and in consideration of the sum of One Dollar (\$1.00) and other valuable consideration to me in hand paid, the receipt of which is hereby acknowledged, I have assigned, sold and set over and by these presents do assign, sell and set over unto the said

**ThyssenKrupp Presta Aktiengesellschaft**

for the territory of the United States of America and not elsewhere, the full and exclusive right, title and interest in and to the said invention, as fully set forth and described in the specification filed herewith, preparatory to obtaining Letters Patent therefor; said invention, application and Letters Patent to be held and enjoyed by the said

**ThyssenKrupp Presta Aktiengesellschaft**

for its own use and behoof and for the use and behoof of its successors and assigns to the full end of the term for which said Letters Patent are granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

**IN WITNESS WHEREOF**, I have hereunto set my hand this 25 day of

June 2003

x Christian Lutz

Christian Lutz

In the presence of

Witness:

Saline Lins  
Brudolf Kunkel

Date: June 25, 2003

**SIDLEY AUSTIN BROWN & WOOD LLP**  
787 Seventh Avenue, New York, NY 10019